

Form No :

# MANASHVI SECURITIES LTD.

Prosperity through Experience, since 1958

CIN - U67120MH1997PLC110688

Member of BSE Cash Segment Clg No. 697 SEBI Regn. No. INZ 000225733

Member of CDSL - SEBI Regn. No. IN-DP-CDSL-215-2003

Member of NSE Cash & Derivative Segment Code 09820

SEBI Regn. No. NSE CASH / F & O / CDS - INZ 000225733

**Regd. Office** : 524, P.J.Tower, Dalal Street, Fort Mumbai-400 023. Tel : 2272 3480  
www.manashvi.com

**Corporate Office** : 417/A, Anjani Complex, 'A' Wing Pereira Hill Road, Opp. Cinemax Theatre,  
Andheri (E) Mumbai-400 099 Tel : 2825 3301/02  
Fax : 2825 2700 | E-mail : manashvi@manashvi.com

**Gandhinagar Office** : 556 1st Floor, Opp. Tejas Society, Sector-23, Gandhinagar-382 024.  
Tel : 079-68101112 | Fax : 079-68101105 &  
562/1, Arambh, opp. Tejas Society, sector-23, Gandhinagar-382024.  
Tel : 079-68101107/08 | Email : gandhinagar@manashvi.com



## Combined Account Opening Form

**DEMAT A/C**   **BSE CASH**   **NSE CASH**   **NSE F&O**

Sub Broker Name :

Unique Client Code :

BO ID NO.   

1	2	0	4	7	8	0	0								
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Name (1) : \_\_\_\_\_

Name (2) : \_\_\_\_\_

Name (3) : \_\_\_\_\_

### Guidance for Applications for account opening

1. Signatures can be in English or Hindi or any of the other languages contained in the 8th schedule of the Constitution of India Thumb impressions and signatures other than the above mentioned languages must be attested by a magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
2. Signature should be preferably in **blackink**.
3. Details of the Names, Address and Tel. No. etc. of the Magistrate / Notary Public / Special Executive Officers are to be provided incase of any attestation done by them.
4. In case of additional signature (For accounts other than individuals), separate annexure should be attached to the application form.
5. In case of applications under a Power of Attorney, the relevant Power of Attorney or the certified and duly notarized copy thereof must be lodged along with the application.
6. All correspondence / queries shall be addressed to the first/ sole applicant.
7. All holders of the account will sign all the depository instructions / correspondence given to us in future.
8. Where the holder is minor, person lawfully entitled to act on behalf of the minor should sign the nomination.
9. Any extra / additional information must be provided in writing along with the application form.
10. Please note that incomplete applications will not be accepted.
11. Kindly strike off whichever is not applicable.
12. Suffix Refers to Mr. XJunior or Mr. XSenior or Lory or Lord YII etc .

### ADDITIONAL DOCUMENTS REQUIRED FOR THE FOLLOWING CATEGORIES

Sr. No.	Category	Description
1.	NRI with Repatriation benefits	1. RBI approval for acquiring shares/ securities 2. Bank Mandate
2.	NRI without Repatriation benefits	1. Letter of undertaking not to remit money abroad 2. Bank mandate, if address given is a foreign address
3.	Minor	1. Guardian's Name 2. Guardian's relationship to the minor proof 3. Birth Certificate of the minor 4. Guardian will sign across the Photograph of Minor.
4.	Foreign National	1. RBI approval for acquiring shares & securities 2. Bank Mandate.
5.	Individual who have given POA	In case the client wishes to or has granted power of attorney, copy of a certified copy of power of attorney should be provided along with the proof of identity and address of the person to whom power of attorney has been granted.

**ACCOUNT OPENING KIT****INDEX**

	<b>Name of the Document</b>	<b>Brief Significance of the Document</b>	<b>Page No.</b>
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**MANDATORY DOCUMENT AS PRESCRIBED BY SEBI & EXCHANGES**

●	<b>KYC (1st Holder)</b>		<b>1-2</b>
●	<b>KYC (2nd Holder)</b>		<b>3-4</b>
●	<b>Trading &amp; Demat from with Instructions</b>		<b>5-12</b>
●	<b>Policy &amp; Procedure</b>		<b>13-14</b>
●	<b>SMS Alert Form</b>		<b>15-16</b>
●	<b>Tariff Sheet</b>		<b>17</b>
●	<b>Rate Instructions</b>		<b>18</b>
●	<b>Proprietary Trading</b>		<b>19</b>
●	<b>STWT</b>		<b>20</b>
●	<b>Power of Attorney (Demat)</b>		<b>21-22</b>
●	<b>Non Mandatory Document</b>		<b>23-28</b>
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**MANASHVI SECURITIES LTD.**

SEBI Registration No. : Member of BSE Cash Segment Clg No. 697 SEBI Regn. No. INZ 000225733  
 Member of CDSL - SEBI Regn. No. IN-DP-CDSL-215-2003  
 Member of NSE Cash & Derivative Segment Code 09820  
 SEBI Regn. No. NSE CASH / F & O / CDS - INZ 000225733

Registered office address : 524, P.J.Tower, Dalal Street, Fort Mumbai-400 023. Tel : 2272 3480  
 www.manashvi.com

Correspondence office : 556, 1st Floor, Opp. Tejas Society, Sector-23, Gandhinagar-382 024.  
 address Tel : 079-68101112 ☒ Fax : 079-68101105

Compliance Officer BSE/NSE : Mr. Nilesh Y. Shah Phone No. 022-28253301 service@manashvi.com

Compliance Officer CDSL : Mr. Vidhyut K. Shah

For any grievance / dispute please contact Manashvi Securities Ltd. at the above address or email id -

BSE :  
 NSE : } complaint@manashvi.com  
 CDSL :

Phone : 022-28253301

In case not satisfied with the response, please contact

**NSE**

Email : ignse@nse.co.in

Tel.: (022) 2659 8100

**BSE**

Email : is@bseindia.com

Tel.: (022) 2272 8097

**CDSL**

Email : complaints@cdslindia.com

Tel.: (022) 22723333

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**Know Your Client (KYC)  
Application Form (For Individuals only)**

(Please fill the form in English and in BLOCK Letters)  
Fields marked with "\*" are mandatory fields

Application Type\*  New  Update  
 KYC Number\*   
 KYC Type\*  Normal (PAN is mandatory)  PAN Exempt Investors (Refer instruction K)



**1. Identity Details** (Please refer instruction A at the end)

PAN  Please enclose a duly attested copy of your PAN Card

	Prefix	First Name	Middle Name	Last Name
Name* (same as ID proof)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden Name (If any*)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender	
Marital Status*	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others	
Citizenship*	<input type="checkbox"/> IN- Indian	<input type="checkbox"/> Others - Country	Country Code	<input type="text"/>
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian		
Occupation Type*	<input type="checkbox"/> S-Service	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Sector
	<input type="checkbox"/> O-Others	<input type="checkbox"/> Professional	<input type="checkbox"/> Self Employed	<input type="checkbox"/> Retired
	<input type="checkbox"/> B-Business	<input type="checkbox"/> X-Not Categorised	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student

**Photo**




Photo across sign

Signature/  
Thumb Impression

**2. Proof of Identity (PoI)\*** (for PAN exempt Investor or if PAN card copy not provided) (Please refer instruction C & K at the end)

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

<input type="checkbox"/> A- Passport Number	<input type="text"/>	Passport Expiry Date	<input type="text"/>
<input type="checkbox"/> B- Voter ID Card	<input type="text"/>	Driving Licence Expiry Date	<input type="text"/>
<input type="checkbox"/> D- Driving Licence	<input type="text"/>	Identification Number	<input type="text"/>
<input type="checkbox"/> E- Aadhaar Card	<input type="text"/>		
<input type="checkbox"/> F- NREGA Job Card	<input type="text"/>		
<input type="checkbox"/> Z- Others (any document notified by the central government)	<input type="text"/>		

**3. Proof of Address (PoA)\***

3.1 Current / Permanent / Overseas Address Details (Please see instruction D at the end)

**Address**

Line 1\*

Line 2

Line 3

District\*  Zip / Post Code\*  State/UT Code  as per Indian Motor Vehicle Act , 1988

Country\*  Country Code  as per ISO 3166

Address Type\*  Residential / Business  Residential  Business  Registered Office  Unspecified

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

**Proof of Address\***

<input type="checkbox"/> Passport Number	<input type="text"/>	Passport Expiry Date	<input type="text"/>
<input type="checkbox"/> Voter ID Card	<input type="text"/>	Driving Licence Expiry Date	<input type="text"/>
<input type="checkbox"/> Driving Licence	<input type="text"/>	Identification Number	<input type="text"/>
<input type="checkbox"/> Aadhaar Card	<input type="text"/>		
<input type="checkbox"/> NREGA Job Card	<input type="text"/>		
<input type="checkbox"/> Others (any document notified by the central government)	<input type="text"/>		

3.2 Correspondence / Local Address Details\* (Please see instruction E at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill „Annexure A1“, Submit relevant documentary proof)

Line 1\*

Line 2

Line 3

District\*  Zip / Post Code\*  State/UT Code  as per Indian Motor Vehicle Act , 1988

Country\*  Country Code  as per ISO 3166

**4. Contact Details** (All communications will be sent on provided Mobile no. / Email ID) (Please refer instruction F at the end)

Email ID

Mobile  -  Tel. (Off)  -  Tel. (Res)  -

**5. FATCA/CRS Information** (Tick if Applicable)  Residence for Tax Purposes in Jurisdiction(s) Outside India (Please refer instruction B at the end)

Additional Details Required\* (Mandatory only if above option (5) is ticked)

Country of Jurisdiction of Residence\*  Country Code of Jurisdiction of Residence  as per ISO 3166

Tax Identification Number or equivalent (If issued by jurisdiction)\*

Place / City of Birth\*  Country of Birth\*  Country Code  as per ISO 3166

Address

Line 1\*

Line 2

Line 3

District\*  Zip / Post Code\*  State/UT Code  as per Indian Motor Vehicle Act , 1988

State/UT\*  Country\*  Country Code  as per ISO 3166

**6. Details of Related Person** (Optional) (please refer instruction G at the end ) (in case of additional related persons, please fill Annexure B1)

Related Person  Deletion of Related Person KYC Number of Related Person (if available\*)

Related Person Type\*  Guardian of Minor  Assignee  Authorized Representative

Name\*  Prefix  First Name  Middle Name  Last Name

(If KYC number and name are provided, below details of section 6 are optional)

Proof of Identity [PoI] of Related Person\* (Please see instruction ( H ) at the end)

(Certified copy of any one of the following Proof of Identity[PoI] needs to be submitted)

A - Passport Number  Passport Expiry Date

B - Voter ID Card

C - PAN Card

D - Driving Licence  Driving Licence Expiry Date

E - Aadhaar Card

F - NREGA Job Card

Z - Others (any document notified by the central government)  Identification Number

**7. Remarks (If any)**

**8. Applicant Declaration**

• I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time.

• I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date :  Place :

[Signature / Thumb Impression]

Signature / Thumb Impression of Applicant

**9. Attestation / For Office Use Only**

**Documents Received**  Certified Copies

**KYC Verification Carried Out by (Refer Instruction I)**

Date

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

**Institution Details**

Name

Code

Emp. Branch

[Institution Stamp]

---

**In-Person Verification (IPV) Carried Out by (Refer Instruction J)**

Date

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

**Institution Details**

Name

Code

Emp. Branch

[Institution Stamp]

**Know Your Client (KYC)  
Application Form (For Individuals only)**

(Please fill the form in English and in BLOCK Letters)  
Fields marked with "\*" are mandatory fields

Application Type\*  New  Update  
 KYC Number\*   
 KYC Type\*  Normal (PAN is mandatory)  PAN Exempt Investors (Refer instruction K)



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Name* (same as ID proof)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden Name (If any*)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender	
Marital Status*	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others	
Citizenship*	<input type="checkbox"/> IN- Indian	<input type="checkbox"/> Others - Country	Country Code <input type="text"/>	
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian		
Occupation Type*	<input type="checkbox"/> S-Service	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Sector
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Signature/  
Thumb Impression

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<input type="checkbox"/> E- Aadhaar Card	<input type="text"/>		
<input type="checkbox"/> F- NREGA Job Card	<input type="text"/>		
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State/UT\*  Country \*  Country Code  as per ISO 3166

Address Type\*  Residential / Business  Residential  Business  Registered Office  Unspecified

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Proof of Address\*

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<input type="checkbox"/> Voter ID Card	<input type="text"/>	Driving Licence Expiry Date	<input type="text"/>
<input type="checkbox"/> Driving Licence	<input type="text"/>	Identification Number	<input type="text"/>
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<input type="checkbox"/> NREGA Job Card	<input type="text"/>		
<input type="checkbox"/> Others (any document notified by the central government)	<input type="text"/>		

3.2 Correspondence / Local Address Details\* (Please see instruction E at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill „Annexure A1“, Submit relevant documentary proof)

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Line 2

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**4. Contact Details** (All communications will be sent on provided Mobile no. / Email ID) (Please refer instruction **F** at the end)

Email ID

Mobile  -  Tel. (Off)  -  Tel. (Res)  -

**5. FATCA/CRS Information** (Tick if Applicable)  Residence for Tax Purposes in Jurisdiction(s) Outside India (Please refer instruction **B** at the end)

Additional Details Required\* (Mandatory only if above option (5) is ticked)

Country of Jurisdiction of Residence\*  Country Code of Jurisdiction of Residence  as per ISO 3166

Tax Identification Number or equivalent (If issued by jurisdiction)\*

Place / City of Birth\*  Country of Birth\*  Country Code  as per ISO 3166

Address

Line 1\*

Line 2

Line 3

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State/UT\*  Country\*  Country Code  as per ISO 3166

**6. Details of Related Person** (Optional) (please refer instruction G at the end ) (in case of additional related persons, please fill Annexure B1)

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Related Person Type\*  Guardian of Minor  Assignee  Authorized Representative

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(If KYC number and name are provided, below details of section 6 are optional)

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(Certified copy of any one of the following Proof of Identity[PoI] needs to be submitted)

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C - PAN Card

D - Driving Licence  Driving Licence Expiry Date

E - Aadhaar Card

F - NREGA Job Card

Z - Others (any document notified by the central government)  Identification Number

**7. Remarks (If any)**

**8. Applicant Declaration**

• I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time.

• I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date :  Place :

[Signature / Thumb Impression]

Signature / Thumb Impression of Applicant

**9. Attestation / For Office Use Only**

**Documents Received**  Certified Copies

**KYC Verification Carried Out by (Refer Instruction I)**

Date

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

**Institution Details**

Name

Code

Emp. Branch

[Institution Stamp]

---

**In-Person Verification (IPV) Carried Out by (Refer Instruction J)**

Date

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

**Institution Details**

Name

Code

Emp. Branch

[Institution Stamp]



For Individuals/Sole Proprietorship/Partnership/HUF

**TRADING AND DEMAT ACCOUNT RELATED DETAILS**

I/We request you to open the following account(s) in my/our name as per the following details.  
(Please tick only one of the following options)

Trading Account and CDSL Demat Account       CDSL Demat Account only       Trading Account only

Type of Demat Account	<input type="checkbox"/> Ordinary Resident	<input type="checkbox"/> NRI-Repatriable	<input type="checkbox"/> NRI-Non-Repatriable	<input type="checkbox"/> Margin	<input type="checkbox"/> Promoter	<input type="checkbox"/> HUF	<input type="checkbox"/> Others (please specify).....
Type of Trading Account	<input type="checkbox"/> Ordinary Resident	<input type="checkbox"/> NRI-Repatriable	<input type="checkbox"/> NRI-Non-Repatriable	<input type="checkbox"/> Sole Proprietor	<input type="checkbox"/> Partnership	<input type="checkbox"/> HUF	<input type="checkbox"/> Others (please specify)....

**A. SOLE/FIRST HOLDER DETAILS**

SMS Facility (This facility is made mandatory to register Power of Attorney in demat account. Ensure that the mobile number is provided in the KYC Application Form.)		<input type="checkbox"/> Yes	<input type="checkbox"/> No
Name of the Trading A/C Holder			
Name of the First/Sole Holder (demat)			
PAN No.		UID No.	Qualification

**OTHERS DETAILS**

Gross Annual Income Details (please specify)	Income Range per annum	<input type="checkbox"/> Below Rs 1 Lac	<input type="checkbox"/> 1-5 Lac	<input type="checkbox"/> 5-10 Lac								
		<input type="checkbox"/> 10-25 Lac	<input type="checkbox"/> 25 Lacs - 1 Crore	<input type="checkbox"/> > 1 Core								
	Net-worth as on (date)	<table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table> _____ (*Net worth should not be older than 1 year)			D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y					
Occupation (please tick any one and give brief details)	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others											
Please tick, if applicable	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP)											

**B. SECOND HOLDER DETAILS**

Name of the Second Holder (demat)																																	
PAN No.																	UID No.																

**C. THIRD HOLDER DETAILS**

Name of the Third Holder (demat)																																
PAN No.																	UID No.															

**D. GUARDIAN DETAILS (In case the sole holder is a minor). - Applicable for Demat Account only**

Name (Mr./Ms.)																PAN													
																Provide a copy of the PAN card and original for verification													
Relationship (if any)											Date of Birth* (of Minor)	D	D	M	M	Y	Y	Y	Y										
Address (Submit valid Proof of Address)																													
																PIN CODE:													

\*Provide attested copy of Birth Certificate.

# MANDATORY

<b>Account Statement Requirement</b>	<input type="checkbox"/> Daily	<input type="checkbox"/> Weekly	<input type="checkbox"/> Fortnightly	<input type="checkbox"/> Monthly
	<input type="checkbox"/> Quarterly	<input type="checkbox"/> Semi-Annually	<input type="checkbox"/> Annually	

Do you wish to receive dividend / interest directly in to your bank account given below through ECS ?  YES  NO

### E. BANK DETAILS FOR DEMAT ACCOUNT / TRADING ACCOUNT

Bank A/c No.				Bank Sub-type	<input type="checkbox"/> Savings Account	<input type="checkbox"/> Current Account	<input type="checkbox"/> Others .....					
Bank Name (Full name)												
Bank Branch Address (Write as per the manner discribed)	Building Tower/Appartment/Palace/Chamber/Mansion											
	Street/Road/Marg/Lane/Avenue/Rasta											
	City	State			PIN CODE:							
9-Digit Code Number of the Bank and branch appearing on the MICR cheque issued by the Bank (Submit Valid Proof)												
IFSC Number												
In case of NRIs, RBI Referene No.*				RBI Approval Date	D	D	M	M	Y	Y	Y	Y

\*Submit PIS permission copy obtained from bank.

### F. STANDING INSTRUCTIONS FOR DEMAT ACCOUNT

I/We authorise you to receive credits automatically into my/our account	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Account to be operated through Power of Attorney (PoA) (If yes, ensure SMS alert facility is opted.)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Download of Email ID to Issuer / R & T Agent	<input type="checkbox"/> Yes	<input type="checkbox"/> No
I/We authorise you to send all communications/statements through the e-mail only*	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Address for communication/Corporate Benefits	<input type="checkbox"/> Local/Permanent Address <input type="checkbox"/> Correspondence Address/Foreign Address (Default option is Local Address)	

\*All communication shall be sent at the email ID/correspondence address of the Sole/First holder only. Please ensure the correctness of e-mail address in the KYC Application Form.

# If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

### G. DOCUMENTARY EVIDENCE OF FINANCIAL DETAILS FOR DERIVATIVE CLIENTS (For Derivatives Trading)

<input type="checkbox"/> Copy of Tax Return	<input type="checkbox"/> Salary Certificate	<input type="checkbox"/> Net Worth Certificate	<input type="checkbox"/> Bank Statement for the last 6 months	Others, Please Specity .....
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### H. PAST ACTIONS

Details of any action/proceedings initiated/pending/taken by SEBI/Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years

### I. DEPOSITORY ACCOUNT DETAILS

Depository Participant Name	Depository / Name (NSDL / CDSL)	Beneficiary Name	DP ID	Beneficiary ID (BO ID)

### J. DEALING THROUGH SUB-BROKERS AND OTHER STOCK BROKERS

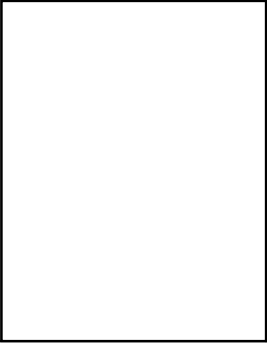

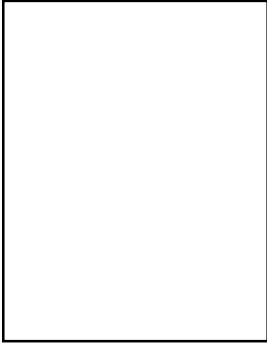
If client is dealing through the sub-broker, provide the following	Sub-broker's Name :											
	NSE SEBI Registration number											
	BSE SEBI Registration number											
	Registered Office address											
	Phone		Fax		Website							
Whether dealing with any other stock broker /sub-broker (if case dealing with multiple stock brokers/ sub-brokers,	Name of stock broker			Name of Sub-Broker, if any								
	Client Code			Exchange								
	Details of disputes / dues pending from / to such stock broker/sub-broker											

**NOMINATION DETAILS**

**Nomination Registration No. :**

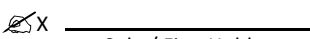
**Dated :**


- I/We the sole holder / joint holders / Guardian (in case of minor) hereby declare that :  
I/We **do not wish to nominate any one for this demat account**
- I/We **nominate** the following persons who is/are entitled the receive security balances lying in my/our account, particulars where of are given below, in the event of my / our death.


Photo : Nominee 1	Photo : Nominee 2	Photo : Nominee 3
		

Nomination Details	Nominee 1	Nominee 2	Nominee 3
<b>Nominee Name :</b>			
<b>*First Name :</b>	_____	_____	_____
<b>Middle Name :</b>	_____	_____	_____
<b>*Last Name :</b>	_____	_____	_____

Nomination Details	Nominee 1	Nominee 2	Nominee 3
<b>Address Line 1</b>			
<b>Address Line 2</b>			
<b>City</b>			
<b>State</b>			
<b>Pin</b>			
<b>Country</b>			
<b>Telephone No.</b>			
<b>Fax No.</b>			
<b>PAN No.</b>			
<b>UID</b>			
<b>Email ID</b>			
<b>*Relationship with the BO:</b>			
<b>Date of birth (mandatory if Nominee is a minor) DD-MM-YYYY</b>			

 \_\_\_\_\_  
Sole / First Holder  
Signature

 \_\_\_\_\_  
Second Holder  
Signature

 \_\_\_\_\_  
Third Holder  
Signature


# MANDATORY


Name of the guardian of Nominee (if nominee is a minor) <b>*First Name :</b>			
<b>Middle Name :</b>			
<b>*Last Name :</b>			
Address of the guardian of nominee :			
<b>City :</b>			
<b>State</b>			
<b>Country :</b>			
<b>PIN</b>			
<b>Age</b>			
<b>Telephone No.</b>			
<b>Fax No.</b>			
<b>Email ID</b>			
<b>*Relationship of the Guardian with the nominee</b>			
<b>*Percentage of allocation of securities</b>			
<b>*Residual securities (please tick any one nominee.</b>			
<b>If tick not marked default will be first nominee) :</b>			


Note : Residual securities in case of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.

\* Marked is Mandatory field

Signature of two Witnesses for nomination		
Name of witness	Address	Signature of witness with date
a.		
b.		

 \_\_\_\_\_  
Sole / First Holder  
Signature

 \_\_\_\_\_  
Second Holder  
Signature

 \_\_\_\_\_  
Third Holder  
Signature







**K. INTRODUCER DETAILS (optional)**

Name of the Introducer	(Surname)	(Name)	(Middle Name)
Status of the Introducer	<input type="checkbox"/> Sub-broker _____ <input type="checkbox"/> Remiser _____ <input type="checkbox"/> Authorized Person _____		
	<input type="checkbox"/> Existing Client _____ <input type="checkbox"/> Others, please specify _____		
Address of the Introducer			
Phone Number		Signature of the Introducer	







**L. ADDITIONAL DETAILS**

Whether you wish to receive Electronic Contract Note or Physical Contract Note (ECN) please specify	<input type="checkbox"/> Electronic Contact Note	<input type="checkbox"/> Physical Contact Note
Whether you wish to avail of the facility of internet trading/wireless technology (please specify)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Number of years of Investment/Trading Experience		
In Case of sole proprietor / partnership, please indicate the name and address of the firm		
SMS and email alerts to investors by stock exchanges. (Please tick whichever is applicable.)	<input type="checkbox"/> SMS	<input type="checkbox"/> Email <input type="checkbox"/> Both
If an existing mobile number/email address is used, please specify the relationship and PAN of the email address/mobile number owner	<input type="checkbox"/> Self	<input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Parent <input type="checkbox"/> Dependent Child
	PAN	
Any other information		

**N. TRADING PREFERENCES**


Exchanges		Segements
NSE	Cash	  1st Holder Signature
	F & O	  1st Holder Signature
BSE	Cash	  1st Holder Signature


**O. DEMAT PREFERENCES**


Name of Holder(s)	Signature(s)
Sole/First Holder / Guardian (in case of minor) (Mr. / Mrs.)	  1st Holder Signature
Second Holder (Mr. / Mrs.)	  2nd Holder Signature
Third Holder (Mr. / Mrs.)	  3rd Holder Signature

**T. DECLARATION**

1. The rules and regulations of the Depository and Depository Participants/Capital Market pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or mis representing, I am/we are aware that I/we may be held liable for it. In case of non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations.
2. I/we understand and acknowledge the fact that I/we am/are at liberty to withdraw all or any of the information provided by me/us and the same shall be made by me/us in writing. I/we confirm and accept that such withdrawal shall entitle you to withdraw all or any of the services provided to me/us under the account opening documentation.
3. I/We acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant". client registration form, rights and obligations documents, Policy & Procedures Tariff sheet, all Non mandatory documents, guidance documents along with the supporting documents.
4. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
5. I/We further confirm having read and understood the contents of the "Rights and Obligations of Stock Brokers, Sub-Brokers and Clients" as prescribed by SEBI and Stock Exchanges and the "Risk Disclosure Document for Capital Market and Derivatives Segments". I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on stockbroker's designated website, if any.
6. \*I/We acknowledge the receipt of copy of the document, "Additional Rights and Obligations for Broking and Other Products and Services." I/We understand and acknowledge that this is voluntary (non-mandatory) document for availing value added products and services as provided by Manashvi Securities Ltd. and unconditionally agree to abide by the terms and conditions mentioned therein. I agree that I will receive an electronic copy/ physical copy of the said document after I am enrolled as a client of Manashvi Securities Ltd.
7. I/We also confirm the details submitted by me/us are true, complete and correct to the best of my/our knowledge. In case the changes are not informed I/we will be solely responsible for any loss incurred due to the same.

 \_\_\_\_\_  
Sole / First Holder  
Signature

 \_\_\_\_\_  
Second Holder  
Signature

 \_\_\_\_\_  
Third Holder  
Signature

Place :  
Date :

(Full signature required)  
Signature of Trading Account Holder

**INSTRUCTIONS / CHECK LIST**

1. Additional documents in case of trading in derivatives segments - illustrative list : Copy of ITR Acknowledgment Copy of Annual Accounts

In case of salary income - Salary Slip, Copy of Form 16.	Net worth certificate
Copy of demat account holding statement	Bank account statement for last 6 months.
Any other relevant documents substantiating ownership of assets.	Self declaration with relevant supporting documents.

*\*In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.*

2. Copy of cancelled cheque leaf I pass book /bank statement specifying name of the constituent, MICR Code or land IFSC Code of the bank should be submitted.

3. Demat master or recent holding statement issued by DP bearing name of the client.

4. For individuals:

a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker / sub-broker's office.

b. In case of non-resident clients, employees at the stock broker's local office, overseas can do 'in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.

5. For receiving Statement of Account in electronic form:

a. Client must ensure the confidentiality of the password of the email account.

b. Client must promptly inform the Participant if the email address has changed.

c. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.

6. To register for easi, please visit our website [www.cdslindia.com](http://www.cdslindia.com) Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.

**FOR OFFICE USE ONLY**

UCC Code allotted to the Client		
	Documents verified with Originals	Client Interviewed by
Name of the Employee		
Employee Code		
Designation of the employee		
Date		
Signature		
Place		
<p>I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.</p>		
<b>Signature of the Branch Manager</b>		<b>Seal/Stamp of MANASHVI SECURITIES LTD.</b>
<b>Date :</b>		

In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
<b>Corporate</b>	<ul style="list-style-type: none"> <li>• Copy of the balance sheets for the last 2 financial years (to be submitted every year).</li> <li>• Copy of latest share holding pattern including list of all those holding control either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary / Whole time director/MD (to be submitted every year).</li> <li>• Photograph, POI, POA, PAN and DIN numbers of whole time directors / two directors Incharge of day to day operations.</li> <li>• Photograph, POI, POA, PAN of individual promoters holding control either directly or indirectly.</li> <li>• Copies of the Memorandum and Articles of Association and certificate of incorporation.</li> <li>• Copy of the Board Resolution for investment in securities market.</li> <li>• Authorised signatories list with specimen signatures.</li> </ul>
<b>Partnership firm</b>	<ul style="list-style-type: none"> <li>• Copy of the balance sheets for the last 2 financial years (to be submitted every year)</li> <li>• Certificate of registration (for registered partnership firms only).</li> <li>• Copy of partnership deed.</li> <li>• Authorised signatories list with specimen signatures.</li> <li>• Photograph, POI, POA, PAN of Partners.</li> </ul>
<b>Trust</b>	<ul style="list-style-type: none"> <li>• Copy of the balance sheets for the last 2 financial years (to be submitted every year)</li> <li>• Certificate of registration (for registered trust only).</li> <li>• Copy of Trust deed.</li> <li>• List of trustees certified by managing trustees/CA.</li> <li>• Photograph, POI, POA, PAN of Trustees.</li> </ul>
<b>HUF</b>	<ul style="list-style-type: none"> <li>• PAN of HUF.</li> <li>• Deed of declaration of HUF/ List of coparceners.</li> <li>• Bank pass-book/bank statement in the name of HUF.</li> <li>• Photograph, POI, POA, PAN of Karta.</li> </ul>
<b>Unincorporated association or a body of individuals</b>	<ul style="list-style-type: none"> <li>• Proof of Existence/Constitution document.</li> <li>• Resolution of the managing body &amp; Power of Attorney granted to transact business on its behalf.</li> <li>• Authorized signatories list with specimen signatures.</li> </ul>
<b>Banks/Institutional Investors</b>	<ul style="list-style-type: none"> <li>• Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years.</li> <li>• Authorized signatories list with specimen signatures.</li> </ul>
<b>Foreign Institutional Investors (FII)</b>	<ul style="list-style-type: none"> <li>• Copy of SEBI registration certificate.</li> <li>• Authorized signatories list with specimen signatures.</li> </ul>
<b>Army / Government Bodies</b>	<ul style="list-style-type: none"> <li>• Self-certification on letterhead.</li> <li>• Authorized signatories list with specimen signatures.</li> </ul>
<b>Registered Society</b>	<ul style="list-style-type: none"> <li>• Copy of Registration Certificate under Societies Registration Act.</li> <li>• List of Managing Committee members.</li> <li>• Committee with resolution for persons authorised to act as authorised signatories with specimen signatures.</li> <li>• True copy of Society Rules and Bye Laws certified by the Chairman / Secretary.</li> </ul>



## POLICIES & PROCEDURE

In order to ensure proper compliance with various Notices/Circulars/ Guidelines issued by SEBI / Exchanges and uniformity the **MANASHVI SECURITIES LTD.** has prescribed the Policies & Procedure as under for guidance of all employees in HO / all Branches which is to be strictly followed by all for dealings with clients.

### [1] Refusal of orders for penny stocks

MSL does not encourage trading in penny stocks or securities falling in T2T or Z group of BSE and NSE. Member reserves its right to refuse orders in such securities from the clients desiring to deal in such shares, stocks, securities. Under exceptional circumstances and considering merits on case to case basis, trading in penny stocks T2T/Z category would be allowed to clients on delivery basis subject to stringent verifications of client holdings, intentions and bonafide reasons given by the intending clients.

There is no formal list of the "Penny Stocks" issued by the Exchanges/SEBI. However there are shares of certain companies which are called/Known as "Penny Stocks" in the Market. These shares may be part of the list of "illiquid stocks" issued by the Exchanges from time to time but not necessarily so in all cases. As dealing in these stocks (in physical form or demat form) is risky. The Company (as a stock broker) may declined to carry out Buy/Sale Order/s of any client for so called "Penny Stock."

### [2] Setting up of Client's Exposure limits

The Company will fix the limit in value for each and every Scrip for which Buy/Sell Order 's of any particular Client will be executed in normal course based on "Income level" for the year confirmed by the Client / Sub broker / Remiser and other considerations. The Company will not disclose the rational/logic of their decision (about fixation of the monetary limit). However if requested by the Client for any specific transaction/s to be carried out as a special case then it may be considered on merit. The company will set up client's exposure limits on the basis of their respective ledger balance collateral provided, security deposit etc across all the exchange for F & O Purpose.

### [3] Applicable Brokerage Rate

At present SEBI permits maximum brokerage @2.5% of contract price . The Company charge brokerage to their Clients at the rate/s below this maximum limit. Each client will be intimated the rate at which brokerage will be charged to him / her / it. This rate will be revised by the Company based on volume of the Client's business / market conditions / other relevant factors. Any change in the rate of brokerage will be intimated to the Client.

### 4) Imposition of Penalty/Delayed Payment Charges to Clients, specifying the rate and the period (This must not result in funding by the broker in contravention of the applicable laws) :

As per regulation the client should pay his pay in obligation of funds on or before one day for the Exchange pay in day. We make the policy of charging penalty /delayed payment charges to discourage client in making late/Delayed payment. Clients will be liable to pay late pay-in/delayed payment charges not exceeding 2% per month for not making payment of their pay-in obligation on time as per the exchange requirement or net ledger debit balance as applicable. The client agrees that MANASHVI SECURITIES LTD. may impose fines/penalties for any orders / trades/ deals/actions of the client which are contrary to this agreement / rules / regulation / bye laws of the exchange or any other law for the time being in force , at such rates and in such form as it may deem fit. Further where MANASHVI SECURITIES LTD. has to pay fine or bear any punishment from any authority in connection with/as a consequence of / in relation to any of the orders/trades/deals/actions of the client, the same shall be borne by the client.

All Clients other than those who have agreed to have a "Running Account" with the Company will have to make payments due to the Company on due dates so in all cases if payment is not made to the Company on due date after considering three working days as Grace period company can sell clients outstanding to clear its debit. The company will make payments to all clients other than those who have agreed to have "Running Account" on due date.

The Company will make payments to all clients other than those who have agreed to have "Running Account" on due date.



\_\_\_\_\_  
Sole / First Holder  
Signature



\_\_\_\_\_  
Second Holder  
Signature



\_\_\_\_\_  
Third Holder  
Signature

**[5] The right to sell client's securities or close client's position, without giving notice to the client, on account of non payment of client's dues.**

MANASHVI SECURITIES LTD., shall be entitled to liquidate client's securities; both unpaid securities as well as collaterals deposited, benefit / credit for the same only on the realization of clear proceeds in MANASHVI SECURITIES LTD. bank account. Where the margin/security is made available by way of securities or any other property, MANASHVI SECURITIES LTD. is empowered to decline its acceptance as. margin/security and/or accept it as such reduced value as MANASHVI SECURITIES LTD. may deem fit by applying haircuts or by valuing it by marking it to market. The stock broker has the sole discretion to decide referred stipulated margin percentage depending upon the market conditions. The above action is at the sole discretion of MANASHVI SECURITIES LTD. and may vary from client to client. It shall not be under any obligations to undertake the exercise compulsorily. MANASHVI SECURITIES LTD. shall therefore not be under any obligation to compensate or provide reasons of any omission or delay on its part to sell client's securities or close open positions of the client.

**[6] Shortages in obligations arising out of internal netting of trades**

In case of BSE, shortages arising out of internal netting of trades are given for self-auction to the exchange through exchange mechanisms.

In case of NSE, shortage arising out of internal netting of trades client shortage are closed out by the company as per guidelines issued from time to time by the exchange.

In case of shortages due to internal netting of trades, if the Company is required to pay any penalty to the exchange/s it will be charged to the client concerned.

Shortage : In case of purchase of securities by the client, at times the stock broker may be unable to deliver the securities to said purchase on the payout day due to non-receipt of the securities from the stock exchange's or in case of non-receipt of the said securities from another client of the stock broker who has sold the securities against the said purchase transaction. In cases of short delivery from the exchange the securities shall be delivered to the purchaser on the deliveries received from the exchange and in cases of short delivery due to non-receipt of the said securities from another client of the stock broker, the securities shall be delivered to the purchaser as per the policy of the exchange.

**[7] Conditions under which a client may not be allowed to take further position or broker may close the existing position of a client.**

It is to be noted that under following circumstances any client will not be allowed to take further position or the Company may close that particular Client's position (i) if the particular Client is a Defaulter of amount due to the Company (ii) Value of the Collateral is not sufficient to meet the Losses incurred by the Client (iii) If according to the Company Market Conditions has turned or likely to turn unfavourable based on the information available or its is the view of the matter taken by the Company. (iv) that particular Client is barred from Trading on the Exchange/s by SEBI / Exchange/s. (v) Political/financial instability in the country or otherwise.

**[8] Temporarily suspending or closing a client's account at the client's request**

It is to be noted that under following circumstances any particular Client's Account may be Suspended / Closed (i) On receipt of Written Instruction from that particular Client (ii) that particular Client is barred from Trading on the Exchange/s by SEBI / Exchange/s (iii) The particular Client who has defaulted in making payment on due date to the Company (iv) on receipt of any adverse information by the Company about the particular Client.

**[9] De-Registering a client.**

It is to be noted that any particular Clients who is/are covered under any of the following category will deregistered at our discretion.

(i) that particular Client/s is/are barred from Trading on the Exchange/s by SEBI / Exchange/s (ii) The particular Client who has defaulted in making payment on due date to the Company (iii) on receipt of any adverse information about the particular Client (iv) The Client NOT trading through the Company continuously for more than 12 months. (v) The death of the client.

**[10] Treatment of Inactive Accounts**

Client having no trades during last financial year (excluding those who have registered during last financial year) will be treated as inactive clients and their status will be marked accordingly in to back office system after settling dues and returning collaterals if any. Re activation of such clients will be done only after due diligence by concerned authorities.

I/We have read, understood the above and state that I will strictly abide by the condition laid in this policy.

 X

\_\_\_\_\_  
Sole / First Holder  
Signature

 X

\_\_\_\_\_  
Second Holder  
Signature

 X

\_\_\_\_\_  
Third Holder  
Signature

# MANASHVI SECURITIES LTD.

## Terms and Conditions- Cum- Registration / Modification Form for receiving SMS Alerts from CDSL

### Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai - 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service".
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

### Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the Bos who are residing in India.
3. The alerts will be provided to the Bos only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e, to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

### Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e, unable to receive the alerts then the BO may not get /get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and / or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred / suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at [complaints@cdslindia.com](mailto:complaints@cdslindia.com). The BO is advised not to inform the service provider about any such unauthorized debit to / transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

**Fees:**

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

**Disclaimer:**

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and / or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use / misuse of such information by any third person.

**Liability and Indemnity:**

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

**Amendments:**

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

**Governing law and Jurisdiction:**

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I / We consent to CDSL providing to the service provider such information pertaining to account / transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee / charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am / are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (please cancel out what is not applicable).

BOID	1	2	0	4	7	8	0	0											
	(Please write your 8 digit DP ID)								(Please write your 8 digit Client ID)										

Sole / First Holder's Name : \_\_\_\_\_

Second Holder's Name : \_\_\_\_\_

Third Holder's Name : \_\_\_\_\_


Mobile Number on which message are to be sent


+91																		
-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--


(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of \_\_\_\_\_

Email ID \_\_\_\_\_  
 (Please write only ONE valid email ID on which communication, if any, is to be sent)

 \_\_\_\_\_  
 Sole / First Holder  
 Signature

 \_\_\_\_\_  
 Second Holder  
 Signature

 \_\_\_\_\_  
 Third Holder  
 Signature

Place :

Date :

**TARIFF SHEET**

**CASH SEGMENT**

Brokerage Slab	Slab %	Minimum Paise	One Side / Both Side
Delivery Based			
Daily Square up			

**DERIVATIVES SEGMENT**


Brokerage Slab	Slab %	Minimum Paise / Per Lot	One Side / Both Side
Future			
Option			


- MAXIMUM BROKERAGE - 2.5% of Contract price.
- Brokerage as mutually agreed. This may change from time to time as mutually agreed.
- Service Tax will be charged at actuals as per Central Govt. rates which may be charged time to time
- STT will be charged at actuals as per Central Govt / State Govt. rates which may be changed time to time.
- Stamp Duty will be charged at actuals as per Central Govt / State Govt. rates which may be changed time to time.
- Transaction charges as applicable by the relevant exchange Plus service tax on Transaction Charges as applicable.
- SEBI turnover Fees at actuals as per SEBI Rates which may be charged time to time.

**Please Specify Anyone**

Mobile No. & Email ID

- 1. Self
- 2. Spouse
- 3. Dependent Children
- 4. Dependent Parent

 \_\_\_\_\_  
Sole / First Holder  
Signature

 \_\_\_\_\_  
Second Holder  
Signature

 \_\_\_\_\_  
Third Holder  
Signature

## MANASHVI SECURITIES LIMITED

DP ID: 47800

DP SEBI REG NO. IN-DP-CDSL-215-2003

### SCHEDULE OF CHARGES: DEMAT

		Scheme A	Scheme B	Scheme C	Scheme D
Stamp Charges		300/-	300/-	300/-	300/-
Deposit		Nil	Rs.2000/-AMC would be taken as of relevant year in case of closure of the account.	Rs 4000/- Deposit – Minimum Lockin period 2 Years (If close before 2 years, Year wise AMC applicable as per prorate)	NIL
AMC		Rs. 350/-	Nil	Nil	Rs. 100/-
AMC for Corporate A/c.		Rs. 850/-	N.A	N.A	N.A.
Transaction Charges (Per ISIN)	POA-Transaction (on & EP)	Rs.12/-	Rs.15/-	Rs.12/-	Rs.29/-
	Off market	0.010 % of transaction value. Subject to minimum Rs. 15/- and maximum Rs 25/-	0.010 % of transaction value. Subject to minimum Rs. 15/- and maximum Rs 25/-	0.010 % of transaction value. Subject to minimum Rs. 15/- and maximum Rs 25/-	Rs.29/-
	Inter DP	Rs.25/-	Rs.25/-	Rs.25/-	Rs 29/-
Demat Charges (Per DRF)		Rs.75/-	Rs.75/-	Rs.75/-	Rs.75/-
Remat Charges (Per RRF)		Rs 15/- + Courier Charges of Rs 35/-	Rs 15/- + Courier Charges of Rs 35/-	Rs 15/- + Courier Charges of Rs 35/-	Rs 15/- + Courier Charges of Rs 35/-
Pledge Services (Per ISIN) Creating/Closure/Innovation)		Rs 50/- Per request + Rs 50/- Courier charges	Rs 50/- Per request + Rs 50/- Courier charges	Rs 50/- Per request + Rs 50/- Courier charges	Rs 50/- Per request + Rs 50/- Courier charges
Pay In Day Sell/Failed Instruction (Per ISIN)		Rs.10/-	Rs.10/-	Rs.10/-	Rs.10/-
Modification Charges		Rs 25/- (Address & Bank details change)	Rs 25/- (Address & Bank details change)	Rs 25/- (Address & Bank details change)	Rs 25/- (Address & Bank details change)
Extra Statement Charges		Rs 15/- Per statement	Rs 15/- Per statement	Rs 15/- Per statement	Rs 15/- Per statement

\*For Scheme B –AMC Would be taken as of last year in case of closure of the account.

**PLEASE NOTE:**

- (1) All Transaction charges are variable.
- (2) All Charges mentioned above are inclusive of CDSL charges.
- (3) Services Tax is not included in above charges.
- (4) DIS Lost/Misplace charge per request Rs.100/-
- (5) The above charges are subject to change with 30 days notice. However, in case of revision of charges by CDSL a shorter notice may be given
- (6) Transaction Charges once paid will not be refunded.
- (7) It is purely Discretion of company regarding shifting of above schemes.
- (8) AMC will levy on pro-rata basis for the first financial year. There after AMC shall be levied up-front in April on all accounts outstanding as at the end of March every-year. AMC will be refunded on pro-rata basis if account close during financial year
- (9) In addition to stamp paper charges and AMC for the first year payable by new clients in advance payment of Rs. 350/- shall be collected for adjustment against future transaction charge for existing client and new clients.
- (10) The depository service may be temporarily disconnected if cheque given for availing DP services is returned unpaid. Intimation of discontinuation of the DP services shall be in accordance with the rules specified by CDSL. The DP services shall be resumed after recovery of the returned cheque plus Rs. 100/- as additional charges.
- (11) All pledging service (creation, closure and invocation) shall be separately charged at the time of each transaction.
- (12) Charges quoted above are for the services listed. Any services not quoted above will be charged separately
- (13) I/We understand that in case of any non compliance of the Byelaws, Rules and regulations as lay down by SEBI/Depositories, and for any other matters that may be decided by you from time to time, you may charge penalty on me. Such penalty amount shall be directly debit to my Trading Account or otherwise.

Please select Scheme (any one)


Scheme A


Scheme B


Scheme C

Scheme D

I/We have read and understood the charges and condition as above and agree to abide by the same.

 X \_\_\_\_\_  
Sole / First Holder  
Signature

 X \_\_\_\_\_  
Second Holder  
Signature

 X \_\_\_\_\_  
Third Holder  
Signature

**MANASHVI SECURITIES LTD.**

Date :

To,

\_\_\_\_\_  
(Client name)

Dear Sir,

**Sub.: Disclosure of Proprietary Trading**

The securities & exchange board of India (SEBI) vide circular no. SEBI / MRD / SE/ cir -42 / 2003, 19th November 2003, has advised the trading member to inform / disclose to their clients if the are doing cliental business and proprietary trading as well.

Our company is engaged mainly on cliental business. However, we wish to inform you that our company. doing some amount of proprietary trading, which is normally in the nature.

You are requested to note the aforesaid disclosure for the future dealing with us.


Kindly accept and acknowledge.


Thanking You,

Your Faithfully,

For MANASHVI SECURITIES LTD.

Authorised signature

 \_\_\_\_\_  
Sole / First Holder  
Signature

 \_\_\_\_\_  
Second Holder  
Signature

 \_\_\_\_\_  
Third Holder  
Signature

**Non-Mandatory Document**

**Annexure  
Securities Trading using Wireless Technology**

To  
Manashvi Securities Ltd.  
524 P. J. Towers,  
Dalal Street, Fort,  
Mumbai 400 -001

Date :

Exchange(s) :

Dear Sir,

**Sub: Securities trading using wireless technology**

I/We \_\_\_\_\_ am/are registered as your client with Client Code No. \_\_\_\_\_ and have executed the Trading Member and Client Agreement and also the agreement for Internet based trading for the purpose of trading in the Capital Market segment, Futures & Options segment, Currency Derivatives segment of National Stock Exchange of India Ltd. (NSE) and Capital Market segment of Bombay Stock Exchange Ltd (BSE)

I/We am/are interested in carrying securities trading through use of wireless technology which shall include devices such as mobile phone, laptop with data card, etc using Internet Protocol (IP)

I / We understand that the terms and conditions applicable to Internet based trading will also be applicable to securities trading through use of wireless technology. I / We am /are made aware by you regarding all the possible risks, responsibilities and liabilities associated with securities trading using wireless technology.

I/We understand that you shall provide information with respect to the addresses of Internet web site / web page where detailed information would be available about securities trading done through the use of wireless technology.


I/We understand that you shall send the Order / Trade confirmation details on our existing **E-mail id** \_\_\_\_\_ on execution of order / trade on the NEAT system, as the case may be. We agree that the information sent by you on our E-mail id would be deemed to be a valid delivery of such information by you. The aforesaid information regarding order and trade confirmation shall also be provided to me / us on the device used for securities trading through the use of wireless technology.


I/We am / are aware that authentication technologies and strict security measures are required for the securities trading using wireless technology through order routed system and undertake to ensure that our password is not revealed to any third party.


I/We therefore request you to enable me / us for carrying securities trading through use of wireless technology. We understand that if any charges will applied by exchange charged per month same as exchange tariff.

We give our consent to continue with the trading terminal and debit of applicable charges in our client code.

Thanking you,  
Yours faithfully,

 \_\_\_\_\_  
Sole / First Holder  
Signature

 \_\_\_\_\_  
Second Holder  
Signature

 \_\_\_\_\_  
Third Holder  
Signature

Name :  
Place :



## POWER OF ATTORNEY

to all to whom these present shall come I / we

\_\_\_\_\_ (Name of the BO),

\_\_\_\_\_ (Name of the BO),

\_\_\_\_\_ (Name of the BO),

X

India, Indian Inhabitant send greetings

Whereas I / We hold a beneficiary account no. 12047800 \_\_\_\_\_ (BO-ID) with Central Depository Services (india) Limited, through MANASHVI SECURITIES LTD having DP-ID 47800. Regd. Office 524, P.J. Tower Dalal Street, Fort, Mumbai-400 023 and processing centre at 562/1, Aarambh, Opp. Tejas Society, Sector-23, Gandhinagar-382024.

and Whereas I am an investor engaged in buying and selling of securities through MANASHVI SECURITIES LTD-, a member of National Stock Exchange of India Ltd. and a member of Bombay Stock Exchange of India Ltd., bearing SEBI registration No. INZ000225733

and whereas due to exigency and paucity of time, I / We am / are desirous of appointing an agent / attorney to operate the aforesaid beneficiary account on my / our behalf for a limited purpose in the manner hereinafter appearing.


NOW KNOW WE ALL AND THESE PRESENTS WITNESS THAT THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE / AND APPOINT MANASHVI SECURITIES LTD as my / our true and lawful attorney (hereinafter referred to as the attorney) for me / us and on my / our behalf and in my / our name to do instruct the aforesaid Depository Participant as under.


- i. to transfer securities held in my/our aforementioned beneficial owner account(s) or any other account informed by me in writing to the stock broker from time to time to the demat account nos. as mentioned hereunder of the stock broker/exchange maintained for the purpose of settlement of trades and margin obligations arising out of traders executed by me/us on any recognized stock exchange through the stock broker. However, the said power will be restricted to only transfer of securities to the Clearing Member ID allotted to the stock broker by any existing or future exchange that the stock broker has joined / will join as a member or to any demat accounts linked to the said Clearing Member ID provided that I / we have entered in to client registration with the stock broker for such exchanges.


Details of Demat Account of MANASHVI SECURITIES LTD.

Description of Demat Account	Demat Account No.
BSE : CM Principal A/c - CDSL	12047800 00002804
BSE : CM Early Pay-In A/c CDSL	1100001000015736
BSE : CM Pool A/c - NSDL	IN300095 10034961
NSE : CM Pool A/c - CDSL	12047800 00137963
NSE : CM Early Pay-In A/c CDSL	1100001100019927
NSE : CM Pool A/c - NSDL	IN300095 11364799
BSE House Account - Mutual Fund Redemption	11000029 00000011
NSE House Account - Mutual Fund Redemption	11000011 00017837
Client Unpaid Securities Account	1204780000179987

- ii. To pledge the securities in favour of the stick broker for the limited purpose of meeting my/our margin requirements in connection with the trades executed by me/us on any recognized stock exchange through the stock broker.

 \_\_\_\_\_  
Sole / First Holder  
Signature

 \_\_\_\_\_  
Second Holder  
Signature

 \_\_\_\_\_  
Third Holder  
Signature

- iii. to return to me/us, the securities or funds that may have been received by the stock broker erroneously or those securities or funds that the stock broker was not entitled to receive from me/us;
- iv. To send consolidated summary of my/our scrip-wise buy and sell positions taken with average rates to me/us by way of SMS/email on a daily basis, notwithstanding any other document to be disseminated as specified by SEBI from time to time.
- v. To apply for various products like Mutual Funds, Public Issues (shares as well as debentures), rights, offer of shares, tendering shares in open offers etc pursuant to oral/written/electronic instructions given by me/us to the stock broker.

I / We ratify the infrastructure given by the aforesaid stock broker to the depository participant named here in above in the manner specified here in.

I / We further agree and confirm that powers and authorities conferred by this Power of Attorney shall continue until it is revoked (without notice). in writing by me and that the said revocation shall be effective from the date on which the revocation notice is received by the stock broker in his office at \_\_\_\_\_

IN WITNESS WHEREOF I, the above named Mr. \_\_\_\_\_ has hereunto set my hands this \_\_\_\_\_ day of \_\_\_\_\_ Two Thousand \_\_\_\_\_

Signed, sealed and delivered)  
 By the within named Beneficial Owner )  
 1. \_\_\_\_\_  
 2. \_\_\_\_\_  
 3. \_\_\_\_\_


IN THE PRESENCE OF )  
 )  
 )


\_\_\_\_\_  
 (Name & Address of Witness)

\_\_\_\_\_  
 (Signature of Witness)

I Accept, MANASHVI SECURITIES LTD.  
 Regd. Office : 524, P.J. Tower Dalal Street, Fort, Mumbai-400 023

For, MANASHVI SECURITIES LTD.  
  
 Director

 \_\_\_\_\_  
 Sole / First Holder  
 Signature

 \_\_\_\_\_  
 Second Holder  
 Signature

 \_\_\_\_\_  
 Third Holder  
 Signature

**MANASHVI SECURITIES LTD.**

Date : \_\_\_\_\_

Dear Sir,

I/we \_\_\_\_\_

Have BO A/c No. 

1	2	0	4	7	8	0	0										
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--	--

and Trading code No. 

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In reference to my / our dealings with you as your client. We authorise to send digitally signed contract notes / Bill /Ledger A/c / demat statement / demat holding at my / our following email-id.


In electric form duly authenticates by means of a digital signature as specified in the information technology act 2000 & rules made there under to any of my / our self created email id mentions below :


Pursuant to amendment in clause 3 of the agreement annexure C to the by laws of (CDSL). I/we confirm having opted to receive the statement of accounts pertaining to the above mentioned BO account in electronic mode.


I further hereby consent to the following :

- 1) It is being informed that non-receipt of bounced mail shall amount to deliver of contract notes / documents / demat statement.
- 2) I/we confirm that dispatch of documents to me / us constitute full and absolute discharge of your obligation. I/we confirm that one you send the said documents to my email ID this may treat the same as received by me/us.
- 3) In case of any Query I/we shall intimate you with in reasonable time to your designated email [gandhinagar@manashvi.com](mailto:gandhinagar@manashvi.com)
- 4) In case of any changes in my / our said email-id I/we undertake to intimate the same to you in writing.
- 5) Non verification or not accessing the contract notes or account statement of regular basis from my/our part shall not be reason for disputing the contract not at any time.

<b>Email ID</b>	1 _____
	2 _____
	3 _____

 X \_\_\_\_\_  
Sole / First Holder  
Signature

 X \_\_\_\_\_  
Second Holder  
Signature

 X \_\_\_\_\_  
Third Holder  
Signature

**MANASHVI SECURITIES LTD.**

Date :

Dear Sir

**Consolidated Letter of Authority**

I/We have been regularly trading and investing, or plan to do so, with you at The Stock Exchange Mumbai (BSE) & National Stock Exchange of India Ltd. (BSE Cash / NSE Cash & NSE F&O Segment) To Facilities ease of operations, I/We request and authorize you as under.


1. My/our order for purchase/sale of shares and securities will be given telephonic or orally dealing my/our visit to your office.
2. I/We hereby authorise you not to provide me/us Order confirmation / Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary Paperwork. I/We shall get the required details from contract note issued by you.
3. I/We agree to collect the contract notes/bills/account statements and other relevant documents for the deals executed and in case of non-collection, you may dispatch the same through ordinary post, courier or through any other mode at the address provided by me/us and it shall be deemed to be effective delivery of the same to me/us.
4. I/We hereby authorize you to undertake any transfer inter-se of funds, securities, debits & credits available between various segments. i.e. BSE capital market and futures & options / derivatives segments.
5. I/We hereby authorize you to maintain my/our account, both funds & securities, with you on running account basis and request you to consider the balances in my/our running funds and securities account with you for the purpose of margins / any other obligations due to you. In view of the same it would be proper to release the funds and securities due to me/us on my/our specific request, either written or oral
- 5a. I/We reserve the right to revoke authorization at any time given to you as per paragraph 5 above to maintain Funds and Securities, Mutual and Current Running Account basis by giving a written request for revocation.
6. I/we agree and authorise you to debit charges for depository services and any other incidental charges to my / our BSE ledger account.
7. I/We hereby authorise you to liquidate / close out / sell all or any of my/our positions of share dealing with you for non-payment of margins or other outstanding debts due to us. I/We agree to bear any and all losses and financial charges on account of such liquidation /close out.
8. I/We agree that you may refuse to execute any particular transaction without assigning any reason therefore.
9. I/We agree not to hold you liable or responsible for delay or default in performance of your obligations due to contingencies beyond your control such as fire, flood, civil commotion, earthquake, riots, war, Strikes, failure of systems, failure or internet links, Government/ regulator actions or any such other contingencies which may be beyond our control.
10. My/our preference for actual settlement of funds and securities is at least.
11. The client agrees & authorizes the Stockbroker to instruct the concerned depository participant to block any security sold from the designated security account against his order or the order of his power of attorney holder to sell securities. If their order gets executed either fully or partially then the securities sold would be transferred to the stockbroker's account. The client further agrees and confirms that the client will not pledge or otherwise use any security that has been blocked by the concerned depository participant on the instructions of the stock broker, the client or by its power of attorney holder at any time, and the client authorize the stock broker to instruct the concerned depository participant on client's behalf to dishonor any such instructions.
12. Restriction / Regulation on Dealings : The parties shall mutually decide, from time to time, the volume of business which the client may transact during any trading day on any Exchange. not with standing such an mandatory and voluntary client registration document / arrangement between the parties, the stock broker shall have absolute discretion to reduce the volume of business of the client or restrict dealings by the client without any prior notice to the client having regard to
  - i) The volatility in the market
  - ii) In view of impending price sensitive announcements;
  - iii) Any restriction in relation to volume of trading / outstanding business or margins stipulated by any exchange;
  - iv) Political instability in the country;
  - v) Presence of any other price sensitive factors;


 Once in a Calendar Quarter

 Once a Calendar Month

Thanking you,

Yours truly

 \_\_\_\_\_  
Sole / First Holder  
Signature

 \_\_\_\_\_  
Second Holder  
Signature

 \_\_\_\_\_  
Third Holder  
Signature

Client Name: \_\_\_\_\_

**UNDERTAKING FOR SUBMISSION OF DEMAT INSTRUCTION SLIP**

Date : \_\_\_\_\_

To,


**MANASHVI SECURITIES LTD.**

Dear Sir,

In reference to my / our Demat Account with you as your client, I/We hereby agree with your policy regarding accepting of Demat. Instruction Slip for pay-in other than MANASHVI SECURITIES LTD. and all other off Market and Inter DP Instruction Slip to be accept physically up to 5.30 p.m.

I/We confirm that I / We fully responsible for any error occurred for late submission of Demat Instruction Slip.

Thanking You,

Client Signature  \_\_\_\_\_

Sole / First Holder  
Signature

 X \_\_\_\_\_

Second Holder  
Signature

 X \_\_\_\_\_

Third Holder  
Signature

**ADJUSTMENT OF CDSL BILL WITH TRADING ACCOUNT**

To,

**MANASHVI SECURITIES LTD.**

Dear Sir,

I \_\_\_\_\_ having a Beneficial Demat Account No. 

1	2	0	4	7	8	0	0								
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--

 & Trading A/c. No. \_\_\_\_\_ with you, I hereby Authorise you to sett off / Adjust my Debit Balance of Demat Account with my Trading A/c. from time to time.

Thanking You,

 X \_\_\_\_\_

Sole / First Holder  
Signature

 X \_\_\_\_\_

Second Holder  
Signature

 X \_\_\_\_\_

Third Holder  
Signature

**SCHEDULE OF CHARGES FOR BSDA (BASIS SERVICE DEMAT ACCOUNT)**

Particular		
Eligibility as a BSDA Holder		<b>BSDA Holder should not have any other demat account across depositories as first / sole holder.</b>
BSDA-AMC (Condition for AMC)		1. Scheme A : Holding Valuation in Demat account up to Rs. 50000/- No AMC 2. Scheme B : Holding Valuation in Demat Account Between Rs. 50000/- to Rs. 200000/- AMC Rs. 100/- <b>* Note :</b> If the value of holding in such BSDA exceeds the prescribe criteria at any date AMC charges as applicable to regular accounts AMC Rs. 350/-.
Transaction Charges	POA-Transaction (on & EP)	Rs. 50/-
	Off market	Rs. 50/-
	Inter DP	Rs. 50/-
Demat Charges (Per DRF)		Rs. 10/- Per certificate + Courier Charges of Rs. 50/- Per demat request for every 250 gsm.
Remant Charges (Per RRF)		Rs. 15/- + Courier Charges of Rs. 35/-
Pledge/Unpledge Creating/Closure/ Innovation)		Rs. 50/- Per request + Rs. 50/- Courier Charges
Failed Instruction		Rs. 10/- Per transaction
Freeze-De-Freeze		Rs. 100/-
Other charges		1. Statement on Demand Rs. 5/- per page. 2. Valuation report on Demand - Rs. 25/-. 3. Client Master List on Demand - Rs. 5/- per page.z
Modification Charges		Rs. 25/- (Address & Bank details change)

**PLEASE NOTE**

- (1) All Transaction charges are variable.
- (2) All Charges mentioned above are inclusive of CDSL charges.
- (3) Services Tax is not included in above charges.
- (4) The above charges are subject to change with 30 days prior notice. However, in case of revision of charges by CDSL a shorter notice may be given.
- (5) DIS Lost/Misplace issuance charge per request Rs. 100/-
- (6) Transaction Charges once paid will not be refunded.
- (7) It is purely Discretion of company regarding shifting of above schemes.
- (8) AMC will levy on pro-data basis for the first financial year. There after AMC shall be levied up-front in April on all accounts outstanding as at the end of march every year. AMC will be refunded on pro-rata basis if account close during financial year.
- (9) In addition to stamp paper charges and AMC for the first year payable by new clients in advance payment of Rs. 350/- shall be collected for adjustment against future transaction charge for existing client and new clients.
- (10) The depository service may be temporarily disconnected if cheque given for availing DP services is returned unpaid. Intimation of discontinuation of the DP services shall be in accordance with the rules specified by CDSL. The DP services shall be resumed after recovery of the returned cheque plus Rs. 100/- as additional charges.
- (11) Charges quoted above are for the services listed. Any services not quoted above will be charged separately.
- (12) I/We understand that increase of any non compliance of the byelaws, Rules and regulations as laid down by SEBI/Depositories, and for any other matters that may be decided by you from time to time, you may charge penalty on me. Such penalty amount shall be directly debit to my Trading Account or otherwise.
- (13) If you wish opt BSDA service in your Demat account, fill up additional declaration of BSDA service along with Bo Holder Signature and submit to DP.

X

\_\_\_\_\_  
Sole / First Holder  
Signature

X

\_\_\_\_\_  
Second Holder  
Signature

X

\_\_\_\_\_  
Third Holder  
Signature

Date :

To,  
Manashvi Securities Limited,  
Gandhinagar.  
Sub : BSDA  
Ref: 12047800 \_\_\_\_\_

Dear Sir,

I / We \_\_\_\_\_ give you consent for  
BSDA (basic service demat account) / regular demat account.

- BSDA (Charges will be applicable as per BSDA)
- Regular Demat (Charges will be applicable as per normal demat account)


Thanks


**Additional**

**Consolidated Account Statement**

- Yes
- No

Note : Charges will be applicable as per our teriff structure (Please refer extra statement charges)

 X \_\_\_\_\_  
Sole / First Holder  
Signature

 X \_\_\_\_\_  
Second Holder  
Signature

 X \_\_\_\_\_  
Third Holder  
Signature

**MANASHVI SECURITIES LTD.**

Dear Valued Client,

As per the directions of Regulatory Authorities, Depository Participants (DPs) are required to classify client's demat account under two categories - Regular Demat accounts (RSDA) and Basic Service Demat Accounts (BSDA). It is mandatory to bring all accounts with holding value of less than Rs 2 Lakhs under BSDA category, unless the client opts otherwise. .

In this BSDA category, the accounts with holding value below Rs 50,000 shall not attract any Annual Maintenance Charges (AMC) and those account with holding value from Rs. 50,001 to Rs. 2,00,000 will be levied only Rs. 100 as AMC. BSDA accounts will be levied higher applicable AMC Charges on value of holdings exceeding prescribed limits. As and when the value of holdings exceeds the limit of Rs. 2 lakhs, automatically RSDA charges will be applied.

Please find the revised Tariff for BSDA and Regular accounts. **If you wish to continue as a Regular Demat Account Holder (RSDA), you may please sign the option letter given below and return it to us at the earliest.** In case we do not hear from you, your account will be classified as BSDA and the revised tariff will be effective from 1st June 2016. For any clarifications please contact the undersigned on our (079) 23240880




Assuring you of our best services as possible.

Maulik Chauhan  
079-23240880

.....  
**Declaration for opting for Regular Services Demat Account (RSDA)**

I/We do not wish to opt for Basic Service Demat Account (BSDA). I/We would like to continue to remain as Regular Demat Account Holder (RSDA). I/We have read and understood the terms and conditions.

1	2	0	4	7	8	0	0								
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Signature:  \_\_\_\_\_  \_\_\_\_\_  \_\_\_\_\_  
Sole / First Holder Second Holder Third Holder  
Signature Signature Signature

Name: \_\_\_\_\_  
1<sup>st</sup> Holder 2<sup>nd</sup> Holder 3<sup>rd</sup> Holder



# MANASHVI SECURITIES LIMITED

CIN-U67120MH1997PLC110688

Member of BSE Cash Segment Clg No. 697 SEBI Regn. No. INZ 000225733 MEMBER OF CDSL- SEBI Regn. No IN-DP-CDSL-215-2003 Member of NSE Cash & Derivatives Segment Code 09820 SEBI Regn. No. NSE CASH / F & O/ CDS INZ 000225733

**Regd. Office:** 524, P.J. Tower, Dalal Street, Fort, Mumbai –400023. Tel: 22723480 **Web Side:** [www.manashvi.com](http://www.manashvi.com)  
**Corporate Office:** 417, Anjani Complex, 'A' Wing Pereira Hill Road, Opp. Cinema Theatre, Andheri (E) – Mumbai 400099  
 Tel.: 2825 3301/2 Fax: 2825 2700 **Email:** [manashvi@manashvi.com](mailto:manashvi@manashvi.com) **Branch Office:** 556 1<sup>st</sup> Floor Opp. Tejas Society, Sector-23, Gandhinagar-382 024. Tel: 079-68101112 Fax: - 079-68101105 & 562/1 Arambh Opp. Tejas Society, Sector-23, Gandhinagar-382 024. Tel: 079- 68101107/08 **Email :** [gandhinagar@manashvi.com](mailto:gandhinagar@manashvi.com)

## **FATCA/CRS DECLARATION FOR INDIVIDUALS ACCOUNTS**

*Note – The information in this section is being collected because of enhancements to Manashvi Securities Limited's account on-boarding procedures in order to fully comply with Foreign Account Tax Compliance Act (FATCA) requirements and the Common Reporting Standards (CRS) requirements pursuant to amendments made to Income-tax Act,1961 read with Income-tax Rules, 1962.*

*For more information refer:*

*[http://www.incometaxindia.gov.in/dtaa/other%20agreements/india\\_iga\\_final-india\\_english.pdf](http://www.incometaxindia.gov.in/dtaa/other%20agreements/india_iga_final-india_english.pdf)*

*<http://www.oecd.org/ctp/exchange-of-tax-information/automatic-exchange-financial-account-information-common-reporting-standard.pdf>*

*(We are unable to provide advice about your tax residency. If you have any questions about your tax residency, please contact your tax advisor)*

### **Part A (All fields are mandatory)**

Section I	Details of Account Holder	
<b>1</b>	<b>Trading code: Client ID (To be provided only if demat account is with MSLDP):</b>	
<b>2</b>	<b>PAN*</b>	
<b>3</b>	<b>Name of Account Holder</b>	
<b>4</b>	<b>Address for Tax Residence (include City, State, Country and Pin code)</b>	
<b>5</b>	<b>Address Type (Tick whichever applicable)</b>	(a) Residential <input type="checkbox"/> (b) Business <input type="checkbox"/> (c) Registered Office <input type="checkbox"/>
<b>6</b>	<b>Do you satisfy any of the criteria mentioned below?</b>	Yes <input type="checkbox"/> No <input type="checkbox"/>
a.	Citizen of any country other than India (dual / multiple) [including Green card]	<input type="checkbox"/> <input type="checkbox"/>
b.	Country of birth is any country other than India	<input type="checkbox"/> <input type="checkbox"/>
c.	Tax resident of ANY country/ies other than India	<input type="checkbox"/> <input type="checkbox"/>
d.	POA or a mandate holder who has an address outside India	<input type="checkbox"/> <input type="checkbox"/>
e.	Address or telephone number outside India	<input type="checkbox"/> <input type="checkbox"/>
<i>If your answer to any of the above questions is a 'YES', please fill Section II of the form, else go to declaration &amp; acknowledgment</i>		

### **Section II - Other information (Please fill in BLOCK LETTERS)**

Father's name \_\_\_\_\_ (If PAN not available, then mandatory)

Country of Birth' \_\_\_\_\_ Place within the country of birth \_\_\_\_\_




'(If USA, however nationality and/or country of tax residency is not USA, please provide documentary evidence as mentioned in Instruction 1)

Source of Wealth \_\_\_\_\_ Nationality \_\_\_\_\_

*Please list below the details, confirming ALL countries of tax residency/ permanent residency/ citizenship and ALL Tax Identification Numbers*

Country of Tax residency	Tax identification no <sup>s</sup>	Tax identification document (TIN or functional equivalent)

*It is mandatory to supply a TIN or functional equivalent (in case TIN not available) if the country in which you are tax resident issues such identifiers. If no TIN /functional equivalent is yet available or has not yet been issued, please provide an explanation below.*

<b>Declaration &amp; Acknowledgement</b>	<p>I _____ being the beneficial owner of the account opened/to be opened with Manashvi Securities Limited (MSL) and the income credited therein, declare that the above information and information in the submitted documents to be true, correct and updated, and the submitted documents are genuine and duly executed.</p> <p>I acknowledge that towards compliance with tax information sharing laws, such as FATCA/CRS, the MSL may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from the account holder. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances (including if the MSL does not receive a valid self-certification from me) the MSL may be obliged to share information on my account with relevant tax authorities. Should there be any <b>change in any information provided by me I ensure that I will advise MSL promptly, i.e., within 30 days.</b></p> <p>Towards compliance with such laws, the MSL may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/tax authorities, the MSL may also be constrained to withhold and pay out any sums from my account or close or suspend my account(s).</p> <p>I also understand that the account will be reported if any one of the aforesaid FATCA/CRS criteria for any of the account holders i.e. primary or joint (in case of demat account) are met.</p>
<b>Customer Signature</b>	<p> X _____  X _____  X _____</p> <p style="text-align: center;">Sole / First Holder Signature                      Second Holder Signature                      Third Holder Signature</p>
<b>Date</b>	

**Instructions to the Form**

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

S. No.	FATCA/ CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia
1	U.S. place of birth	1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non-US passport or any non-US government issued document <b>evidencing nationality or citizenship</b> (refer list below); <b>AND</b> 3. <b>Any one</b> of the following documents: a. - Certified Copy of "Certificate of Loss of Nationality or b. - Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; <b>or</b> c. - Reason the customer did not obtain U.S. citizenship at birth
2	Residence/ mailing address in a country other than India or Telephone number in a country other than India	1. 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; <b>and</b> 2. 2. Documentary evidence (refer list below)
3	Standing instructions to transfer funds to an account maintained in a country other than India (other than depository accounts)	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; <b>and</b> 3. Documentary evidence (refer list below)
4	POA granted to a person with an address in a country outside India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; <b>OR</b> 2. Documentary evidence (refer list below)

List of acceptable **documentary evidence** needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body\*
2. Valid identification issued by an authorized government body\* (e.g. Passport, National Identity card, etc.)

**\* Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.**

From :-  
Client Code :- \_\_\_\_\_

Client Name :-  
Address :-

To,  
Manashvi Securities Ltd.  
524, P.J. Towers, Dalal Street  
Fort, Mumbai - 400 001

Dear Sir,


My UNIQUE CLIENT CODE (MSL Client Code/BO ID) is \_\_\_\_\_


I, \_\_\_\_\_  
hereby

Acknowledge that I have received a copy of:

- Accounting Opening Letter,
- Copy of KYC & Trading Account related details.
- Copy of Rights and Obligations, OP BO and DP
- Copy of Risk Disclosure Document,
- Copy of Guidance Note, Policies and Procedures, Tariff Sheet,
- Copy of Valuntary (Non-Mandatory) documents & Annexures signed / provided by me during application
- Copy of any other document submitted by me during application

Thanking You  
Yours faithfully,

 X \_\_\_\_\_  
Sole / First Holder  
Signature

 X \_\_\_\_\_  
Second Holder  
Signature

 X \_\_\_\_\_  
Third Holder  
Signature

Date : \_\_\_\_\_

Place : \_\_\_\_\_

	Maker	Chequer
Checking	1)	2)
Demat	3)	4)
KYC	5)	6)
CKYC	7)	8)
Verify	9)	10)
Final Checking	11)	12)

**CHECK LIST TO OPEN DEMAT & TRADING ACCOUNT**

1. PAN CARD COPY (ALL HOLDERS)
2. AADHAR (ALL HOLDERS)
3. BANK PROOF WITH LATEST TRANSACTION (NOT MORE OLDER THAN 2 MONTHS)
4. CANCELLED CHEQUE
5. 1 PHOTOS
6. 1 NOMINEE PHOTO
7. SCHEME A : Rs. 2350 CHEQUE IN FAVOUR OF MANASHVI SECURITIES LIMITED (DEPOSIT BASE)
8. SCHEME B :Rs. 4350 CHEQUE IN FAVOUR OF MANASHVI SECURITIES LIMITED (DEPOSIT BASED)
9. SCHEME C : Rs. 763 CHEQUE (350 + GST AMC + 100 FORM + 50 KYC)

Note:

1. All the proofs should be self attested by all holders.

MOBILE NO.:

E-MAIL:

MOTHER NAME:

NOMINEE NAME & RELATION:

OCCUPATION:

INCOME:

MARITAL STATUS:

## **CHECKLIST FOR NRI (FOR DEMAT A/C)**

1. PAN CARD COPY (of all holders)
2. PIS ACCOUNT PROOF (RBI permission mentioned in it)
3. STATEMENT OF PIS ACCOUNT (not more older than 3 months)
4. ADDRESS PROOF FOREIGN AND INDIAN
5. COPY OF PASSPORT (with visa page)
6. FEMA DECLARATION (format available on <http://www.manashvi.com/downloads/download/292002132.doc> )
7. 1 PHOTO OF HOLDER(S)
8. 1 PHOTO OF NOMINEE
9. CANCELLED CHEQUE (if issued from bank) (if not issued then get MICR and IFSC mentioned letter from PIS opened bank)
10. SOCIAL SECURITY CARD (if client have)
11. Rs. 2350/- CHEQUE IN FAVOUR OF MANASHVI SECURITIES LIMITED.
12. OCI- OVERSEAS CITIZEN OF INDIA
13. FILL UP FATCA FORM IN FRONT OF CLIENT(S)
14. PERMISSION SHOULD BE GIVEN TO BANK FROM CLIENT(S) TO TRANSFER FUNDS TO MANASHVI AGAINST BUY CONTRACT IN PIS ACCOUNT.

### **NOTE:**

**Please provide two copies of all documents one copy is for demat A/C and second copy is for KYC.**

**All proofs should be self attested by holder(s).**

**LOCAL MO. NO.:**

**E-MAIL:**

**BIRTH PLACE:**

**MOTHER NAME:**

**NOMINEE NAME:**

**TAX ID:**

**NATIONALITY:**

**OCCUPATION:**

**INCOME PER YEAR (latest):**

## **CHECKLIST FOR CORPORATE ACCOUNT OPENING**

1. PAN CARD OF COMPANY
2. ADDRESS PROOF OF COMPANY
3. BANK DETAILS OF COMPANY (TRANSACTION SHOULD NOT MORE OLDER THEN 2 MONTHS)
4. Cancelled cheque
5. Board Resolution (should contain who is going to operate demat account)
6. Proof of address of all directors (UID / Voters ID)
7. Copy of the balance sheets for last 2 financial years (to be submitted every year).
8. Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover regulation, duly certified by the company secretary/ Whole time director/ MD (to be submitted every year)
9. Photograph, POI, POA, PAN and DIN number of whole time directors/two directors in charge of day to day operations
10. Photograph, POI, POA, PAN of individual promoters holding control – either directly or indirectly
11. Copies of Memorandum and Article of Association and certificate of incorporate
12. Copy of Board Resolution for investment in securities market
13. Authorized signatories list with specimen signatures.
14. Last 3 years IT return
15. 1294/- cheque in favour of MANASHVI SECURITIES LIMITED (800(AMC) + ST + 300(form) + 50(kyc))

### **Note :**

1. All proof should be self-attested by whole time directors in charge of day to day operations with stamp. Without stamp signature is not valid.
2. Board Resolution and authorized signatory should be on companies letter head.

### **Information :**

1. POI Stands for : Proof of Identity.
2. POA stands for : Proof of address
3. DIN stands for : Director Identification Number.

**CHECK LIST TO OPEN DEMAT & TRADING ACCOUNT OF HUF**

1. PAN CARD COPY (HUF & KARTA)
2. AADHAR CARD
3. BANK PROOF WITH LATEST 6 MONTHS TRANSACTION (NOT MORE OLDER THAN 2 MONTHS)
4. CANCELLED CHEQUE
5. 4 PHOTOS OF KARTA
6. FAMILY MEMBER LIST
7. SCHEME A : Rs. 2350 CHEQUE IN FAVOUR OF MANASHVI SECURITIES LIMITED (DEPOSIT BASE)
8. SCHEME B :Rs. 4350 CHEQUE IN FAVOUR OF MANASHVI SECURITIES LIMITED (DEPOSIT BASED)
9. SCHEME C : Rs. 763 CHEQUE (350 + GST AMC + 300 FORM + 50 KYC)

Note:

1. All the proofs should be self attested by KARTA WITH HUF STAMP.
2. All coparceners signature on POA page.

MOBILE NO.:

E-MAIL:

OCCUPATION:

INCOME & NETWORTH:

MARITAL STATUS: